

1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Serial No. .... Not Yet Assigned  
 3 Filing Date ..... Herewith  
 4 Inventorship ..... Schlimmer  
 Applicant ..... Microsoft Corporation  
 Attorney's Docket No. .... MS1-1853US  
 Title: Invalid Policy Detection

6 **INFORMATION DISCLOSURE STATEMENT**

7 *References -- See Attached Form PTO-1449*

8 **REMARKS**

9 The citations listed, copies of the non-patent references attached, are  
 10 submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The  
 11 Examiner is requested to make these citations of official record in this application.

13 Respectfully Submitted,

14 Date: 2-20-04

15 By: Mark D. Trenner  
 16 Mark D. Trenner  
 17 Reg. No. 43961

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Not Yet Assigned
				Filing Date	Not Yet Assigned
				First Named Inventor	Schlimmer
				Group Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	MS1-1853US

[illegible][illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	Not Yet Assigned
				Filing Date	Not Yet Assigned
				First Named Inventor	Schlimmer
				Group Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	MS1-1853US
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Hypertext Transfer Protocol – HTTP/1.1; World Wide Web Consortium (W3C); <a href="http://www.w3.org/Protocols/rfc2626/rfc2616-sec12.html">http://www.w3.org/Protocols/rfc2626/rfc2616-sec12.html</a> ; Chapter 12, pages 46-47.	
		Bauer, Lujo, et al.; "A General and Flexible Access-Control System for the Web"; Copyright 2002 by the USENIX Association; San Francisco, California; August 5-9, 2002; 17 pages.	
		Verma, Dinesh C. et al.; "Policy-Based Management of Content Distribution Networks"; IEEE Network, The Magazine of Global Internetworking; March/April 2002; Vol. 16, No. 2, pages 34-39.	

Examiner Signature		Date Considered	
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